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Section 8

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Goodman et al.

Group Art Unit: 1801

Serial No. 08/971,172

Examiner: N/A

#3

Filed: November 14, 1997

Attorney Docket No. B98-006

For: *Robo: A Novel Family of Polypeptides
and Nucleic Acids*

Certification under 37 CFR 1.10 (if applicable)
I hereby certify that this correspondence is being deposited with the
United States Postal Service as First Class Mail in an envelope
addressed to the Assistant Commissioner of Patents, Washington, DC
20231 on March 9, 1998 service under 37 CFR 1.10

Signed

Richard Osman

RESPONSE TO NOTICE TO FILE MISSING PARTS

RECEIVED

The Assistant Commissioner for Patents
Washington, DC 20231

MAR 25 1998

Dear Commissioner:

MATRIX CUSTOMER
SERVICE CENTER

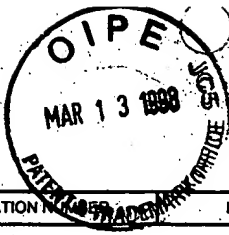
In response to the Notice to File Missing Parts mailed January 8, 1998, enclosed please find:

- Declaration of Inventorship (3p)
- Small Entity Declaration (1p)
- Power of Attorney (1p)
- Assignment (2p) and Recordation sheet
- copy of Notice (1p)
- check no.1305 for \$500.00 (395+65+40)
- return postcard.

The Commissioner is hereby authorized to charge any other fees or credit any overcharges associated with this communication to our Deposit Account No. 19-0750 (order no. B98-006).

Respectfully submitted,
SCIENCE & TECHNOLOGY LAW GROUP

Richard Aron Osman, Ph.D., Reg. No. 36,627
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Fax: (650)343-4342



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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08/971,172 11/14/97 GOODMAN

0242/0108

RICHARD ARON OSMAN
SCIENCE AND TECHNOLOGY LAW GROUP
75 DENISE DRIVE
HILLSBOROUGH CA 94010

NOT ASSIGNED

DATE MAILED: 1801

01/08/98

NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted ALONG WITH THE PAYMENT OF A SURCHARGE for items 1 and 3-6 only of \$ 130 for a ☒ large entity ☐ small entity in compliance with 37 CFR 1.27. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☒ large entity ☐ small entity (verified statement filed), is \$ 920.

- ☒ 1. The statutory basic filing fee is:
☐ missing.
☐ insufficient.

Applicant must submit \$ 790 to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. Additional claim fees of \$ _____, including any multiple dependent claim fees, are required. Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:
☐ is missing.

- ☐ does not cover the newly submitted items.
☐ does not identify the application to which it applies.
☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are:
☐ missing.

☐ by a person other than inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$ _____ processing fee is required since your check was returned without payment (37 CFR 1.21 (f)).
☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
☐ 8. The application does not comply with the Sequence Rules.
See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."
☐ 9. OTHER:

Direct the response and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the response.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

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